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PATENT  
Keith M. Baxter

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jayme Edwards

Client Docket No. 01SW076

Group No.: 3761

Serial No.: 09/904,929 ✓

Examiner:

Filed: July 13, 2001 ✓

For: Internet Object Interface for Industrial Controller

Attorney: Keith M. Baxter

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TECHNOLOGY CENTER R3700

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

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Washington, D.C. 20231

JAN 10 2003

Sir:

Technology Center 2100

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 and 1.98:

- A list of documents on form PTO-1449 together with copies of each identified document;
- A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- 37 CFR 1.97(b) [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- The required certification made under the heading "Certification" below;  
or

- The \$\_\_\_\_\_ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.
- 37 CFR §1.97(d) [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$\_\_\_\_\_ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
  - b) The required Certification is stated under the heading "Certification" below.

Certification

- Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

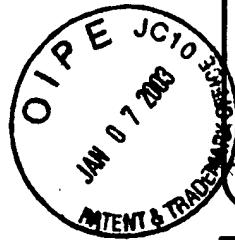
Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted,  
By: \_\_\_\_\_

Keith M. Baxter  
Attorney for Applicant  
Reg No.: 31,233  
Quarles & Brady LLP  
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Milwaukee, WI 53202-4497

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Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/904,929
		Filing Date	July 13, 2001
		First Named Inventor	Jayme Edwards
		Group Art Unit	3761
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	01SW076

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Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

**Complete if Known**

Application Number	09/904,929
Filing Date	July 13, 2001
First Named Inventor	Jayme Edwards
Group Art Unit	3761
Examiner Name	

Attorney Docket Number 01SW076

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	5	Lumpp et al., "Virtual Java Devices Integration of Fieldbus Based Systems in the Internet", IECON '98, Proceedings of the 24th Annual Conference of the IEEE Industrial Electronics Society, Aug. 31-Sept. 4-1998.
	6	Don Box et al., "Simple Object Access Protocol (SOAP) 1.1-W3C Note 08 May 2000.
	7	Database Inspec 'Online!, Institute of Electrical Engieners, Stevenage, GB.
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